PTO/SB/2108/00)
Approved for use through 19/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

3)

A CONTRACTOR OF THE PARTY OF TH		Application Number	09/476,633	
TRANSMITTAL FORM		Filing Date	December 31, 1999	
		First Named Invent r	Li-Shun Wang	
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Group Art Unit	2823	
		Examiner Name	Garcia, J.	
Total Number of Pages in This Submission	13	Attorney Docket Number	42390P7832	

ENCLOSURES (check all that apply)					
Fee Transm	nittal Form	Assignment Pa	oers on)		After Allowance Communication to Group
X Fee A	ttached	Drawing(s)			Appeal Communication to Board of Appeals and Interferences
	t / Response	Licensing-relate	d Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Affida	Final vits/declaration(s)	Petition			Proprietary Information
Extension	of Time Request	Petition to Conv Provisional App			Status Letter
Express Ab	pandonment Request	Power of Attorn Change of Corre	ey, Revocation espondence Address	\boxtimes	Other Enclosure(s) (please identify below):
Information	n Disclosure Statement	Terminal Disclai	mer		Return Postcard
Certified Conduction	opy of Priority s)	Request for Ref	und	:	Return Postcard MAY 3
Response Incomplete	to Missing Parts/ Application	CD, Number of 0	CD(s)		HAY 3
Respo	onse to Missing under 37 CFR or 1.53	Remarks			1 2001 CENTER 2800
					800
	SIGNATUR	E OF APPLICANT,	ATTORNEY, OR A	GEN	Г
Firm or	Carol F. Barry;	Reg. No. 41,600			
Individual name	BLAKELY, SO	KOLOFF, TAYLO	R & ZAFMAN		
Signature	m	14-			
Date	May 17, 2001				
CERTIFICATE OF MAILING					

CERTIFICATE OF MAILING					
	orrespondence is being deposited with the United S Commissioner for Patents, Washington, D.C. 20231		e as first class mail in an envelope May 17, 2001		
Typed or printed name	Nadya Gordon	 			
Signature	Madua Gardon	Date	05/17/01		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Underthe Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2001

Petent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

110.00 (\$)

copolid to a concoderror micror arisecon a ciopiayo a valid civib control rightson			
Complete if Known			
Application Number	09/476,633		
Filing Date	12/31/99		
First Named Inventor	Li-Shun Wang, et al.		
Examiner Name	Garcia, J.		
Group Art Unit	2823		
Attorney Docket Number	42390P7832		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any over navments to:					
indicated fees and credit any over payments to:	Large Entity Small Entity				
Deposit 02 2000	Fee Fee Fee Fee Description	Fee Paid			
Account Number 02-2666	Code (\$) Code (\$)				
Deposit	105 130 205 65 Surcharge - late filing fee or cath 127 50 227 25 Surcharge - late provisional filing fee or	. —			
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	cover sheet.				
Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17	139 130 139 130 Non-English specification				
	147 2,520 147 2,520 For filling a request for ex parte reexami	ination			
Applicant claims small entity status. See 37 CFR 1.27	112 920 112 920 Requesting publication of SIR prior to Examiner action				
2. A Payment Enclosed:	113 1,840 113 1,840 Requesting publication of SIR after				
Check Money Other	Examiner action				
FEE CALCULATION	115 110 215 55 Extension for response within first mor	nth 110			
FEE CALCULATION	116 390 216 195 Extension for response within second				
1. FILING FEE	117 890 217 445 Extension for response within third mo				
Large Entity Small Entity	118 1,390 218 695 Extension for response within fourth m				
Fee Fee Fee Fee Description Fee Paid	128 1,890 228 945 Extension for response within fifth mor	nth			
Code (\$) Code (\$)	119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal	<u> </u>			
101 710 201 355 Utility filing fee	404 070 004 405 Demontées sélbasées				
106 320 206 160 Design filing fee	138 1,510 138 1,510 Petition to institute a public use procee				
107 490 207 245 Plant filing fee	140 110 240 55 Petition to revive - unavoidable				
108 710 208 355 Reissue filing fee	141 1,240 241 620 Petition to revive - unintentio	·			
114 150 214 75 Provisional filing fee	142 1,240 242 620 Utility issue fee (or reissue)	200			
SUBTOTAL (1) (\$)	143 440 243 220 Design issue fee	$\prec \omega$ if			
2. CLAIMS Fee from	144 600 244 300 Plant issue fee	CE T			
2. CLAIMS Fee from Extra below Fee Paid	122 130 122 130 Petitions to the Commissioner	N 200			
Total Claims 21 - = X =	123 50 123 50 Petitions related to provisional applicat	~~ ~			
Independent 3 - = X = =	126 180 126 180 Submission of Information Disclosure				
Multiple Dependent Claims =	581 40 581 40 Recording each patent assignment pe	" 🎖 📗 📗			
Large Entity Small Entity	property (times number of properties) 146 710 246 355 Filing a submission after final rejection	- H			
Fee Fee Fee Fee Description	(37 CFR 1.129(a))				
Code (\$) Code (\$)	149 710 249 355 For each additional invention to be				
103 18 203 9 Claims in excess of 20	examined (37 CFR 1.129(b))				
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple Dependent claim	179 710 279 355 Request for Continued Examination (R	RCE)			
104 270 204 135 Multiple Dependent claims	169 900 169 900 Request for expedited examination				
over original patient	of a design application				
110 18 210 9 Reissue daims in excess of 20	Other fee (specify)				
and over original patent					
SUBTOTAL (2) (\$)	* Reduced by Basic Filing Fee Paid SUBTOTAL (3)	110.00			
"or number previously paid, if greater, For Reissues, see above Reduced by Basic Filling Fee Paid					
SUBMITTED BY	Complete (if	applicable)			
Typed or Carol E Barry Deg 350 41	00 Roa Number				

SUBMITTED BY			Complete (if applicable)		
Typed or Printed Name Carol F. Barry, Reg. No. 41,600		Reg. Number			
Signature	(A)	Date	5/17/2001	Deposit Account User ID	02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.